



Preliminary - Subject to change

## The Chinese Finance Association 25<sup>th</sup> Annual Conference

### *The Further Opening up of China's Financial Sector: Opportunities and Challenges*

New York Marriott Marquis Hotel  
1535 Broadway, New York, NY, 10036

November 10<sup>th</sup>, 2019

We cordially invite you to join the 25<sup>th</sup> TCFA Annual Conference. This TCFA signature event provides an outstanding platform for industry leaders, academic experts, regulators, and TCFA members to share thoughts on the latest developments in the global economy and financial markets. This year's conference features a number of top experts and thought leaders, who will focus their discussions on four particular topics: What are the opportunities and challenges for foreign firms as China further opens up its financial sector? What are the opportunities and challenges for Chinese firms as they enter and/or operate in the US market? How will the Sino-US geo-political relationship affect China's opening-up policy, the world trade order and the global economy? What are the new prospects for Fintech, AI and big data?

Amidst the ongoing trade tension between the U.S. and China, China has pledged to further open up its more than \$40 trillion and fast growing financial sector and give foreign financial firms more access. China's regulator in April 2018 began allowing overseas firms to apply for majority stakes in securities and mutual-fund management ventures. In a speech on July 2nd 2019 Premier Li Keqiang said that China will scrap ownership limits for securities firms, futures businesses and life insurance companies by 2020, one year ahead of the original target of 2021. The take-up by foreign companies is gathering pace but concerns linger. In the meantime, Chinese leaders have also expressed strong desire to promote two-way opening as a way to enhance China's global competitiveness.

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#### **Prominent Keynote Speakers**

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- **Craig Phillips**, former Counselor to the Treasury Secretary Steven Mnuchin
- **Russell Read**, Global Head of Client Solutions at MSCI and former CIOs at CalPERS and Gulf Investment
- **Jingdong Hua**, Vice President and Treasurer of the World Bank (tentatively confirmed)
- **Dr. Li Deng**, Chief AI Officer at Citadel, Fellow of the National Academy of Engineering of Canada



• **Chen Xu**, President & CEO, Bank of China USA, and Chairman, China General Chamber of Commerce USA

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## **Renowned Panel Speakers**

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The following distinguished panelists have been confirmed or invited to join the conference. The list is growing and subject to changes as more speakers are coming to our conference.

### **WELCOME ADDRESS**

**Dr. Henry Mo**, President-Elect, TCFA; Chief Economist, AIG

### **CONFERENCE KEYNOTE ADDRESS**

**A Fireside Chat with Craig Phillips**, former Counselor to the Treasury Secretary Steven Mnuchin

Interviewed with **Maggie Jiang**, Board Director & Former President, TCFA; SVP, Bank of China

### **MORNING KEYNOTE ADDRESS**

**Russell Read**, Global Head of Client Solutions at MSCI and former CIOs at CalPERS and Gulf Investment

Introduced by **David Zhang**, Board Director, TCFA; Managing Director, MSCI

### **SESSION 1: GLOBAL ECONOMIC OUTLOOK**

Moderator: **Mei Gao**, Former Board Director, TCFA; Partner, IDG Capital

**Bruce Kasman**, Chief Economist and Head of Global Economic Research, JPMorgan Chase & Co

**Catherine Mann**, Global Chief Economist, Citibank

**Sergi Lanau**, Deputy Chief Economist, Institute of International Finance

**Chris Varvares**, Vice President and Co-head US Economics at Macroeconomic Advisers, by IHS Markit

### **SESSION 2: GLOBAL INVESTMENT OPPORTUNITIES AND STRATEGIES**

Moderator: **Kun Gao**, Board Director, TCFA; Head of Quant Equity Research, AIG

**Robert Horrocks**, CIO and Portfolio Manager, Matthews Asia (Tentatively confirmed)

**Christopher Rapcewicz**, Director of Risk and Operations, Helmsley Charitable Trust

**James Sweeny**, Chief Economist and CIO America, Credit Suisse



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Irene Tse, CIO, Tse Capital Management

### **SESSION 3: GLOBAL CAPITAL ALLOCATION IN CHINESE AND AMERICAN SECURITIZED PRODUCTS**

Moderator: **Jian Hu**, Former Chairman, TCFA; Managing Director, Moody's Investors Service

**Steven Abrahams**, Senior Managing Director and Head of Investment Strategy, Amherst Pierpont Securities

**Winston Chang**, Managing Director, Structured Finance Ratings, S&P Global Ratings

**Raymond Chen**, Vice Chairman and Chief Strategy Officer, Xiamen International Financial Technology; CEO, Hangzhou Xiayuan Asset Management

**Alex Zilberman**, Head of CLO and Syndication, Natixis

### **LUNCH KEYNOTE ADDRESS**

*(This section is part of VIP lunch by invitation only)*

**Chen Xu**, President & CEO, Bank of China USA, and Chairman, China General Chamber of Commerce USA

Introduced by **Dajiang Guo**, TCFA Advisor

### **AFTERNOON KEYNOTE ADDRESS**

**Jingdong Hua**, Vice President and Treasurer of the World Bank (Tentatively confirmed)

Introduced by **Richard Wang**, Board Director, TCFA; Freddie Mac

### **SESSION 4: CHINA INVESTMENT PANEL**

Moderator: **Dr. David Yan**, Former President, TCFA; Partner of V-Stone Capital Investment

**Robin Hu (胡志斌)**, Chairman and CEO, Broad Resources Investment (博润投资)

**Bryan Lin**, US CEO, Huatai Securities (华泰证券)

**Loretta Liu (柳霁)**, Overseas Business Managing Director, Wind Information (万得信息技术)

**Hong Ni (倪鸿)**, Managing Director, CICC (中金公司)

**Jun Qian (钱骏)**, Founder, Blue Bay Management

**Michael Ren (任思泓)**, Founder, Jinde Asset Management (金得资产管理)

### **Fintech, AI, Big Data and New Finance KEYNOTE ADDRESS**



**Dr. Li Deng**, Chief AI Officer at Citadel, Fellow of the National Academy of Engineering of Canada

Introduced by **Zi Ding**, TCFA Core Member; Trader, Citadel

### **SESSION 5: Fintech, AI, Big Data and New Finance**

Moderator: **Chenyang Wei**, Board Director, TCFA; Senior Managing Director & Head of Zenity Holdings New York (知合控股)

**Seamon Chan**, Co-Founder and Managing Partner, Palm Drive Capital

**Qiang Su (苏强)**, Partner, Kunyuan Asset Management (坤元资产)

**Charles Wang (王红欣)**, Chairman, Academia Capital

**Jerry Wang**, Founder and CEO, Haitou Global

**Yang Xu (徐杨)**, Partner, Tiger Brokers (老虎证券)

**Stephany Yu (俞乃奋)**, Founder, Sunwater Capital, Greencourt Group (绿庭集团), and Viinet Inc.

**Closing Remarks – Bo Liu**, President, TCFA, Director, Bank of America Merrill Lynch

**TCFA Election – Dr. Jian Hu**, Senior Advisor and Former President, TCFA; Managing Director, Moody's Investor Services & **Daphne Fan**, Director, Wells Fargo Securities

**TCFA Cocktail Reception at Broadway Lounge on 8<sup>th</sup> FL**

### **Job Fair**

There are a number of companies are planning to recruit on-site or on-line at the job fair offered by the Annual Conference, including:

- ❖ Bank of China USA (中国银行) (TBD)
- ❖ China International Capital Corporate (中金公司)
- ❖ China Southern Asset Management (南方基金)
- ❖ Haitou Capital (海投资本)
- ❖ Huatai Securities (华泰证券)
- ❖ Tiger Brokers (老虎证券)
- ❖ Wind Information (万得信息技术)

Please note only registered guests can have access to the on-site job fair.

To job applicants: please send resume to [tcfajobfair@gmail.com](mailto:tcfajobfair@gmail.com). We will keep your information in our database and distribute resumes to relevant recruiting firms.



## **Network with Industry Leaders and Make New Connections**

We are expecting more than 800 attendees at this year's conference including

- ❖ Senior Wall Street professionals
- ❖ Delegations from leading Chinese financial institutions
- ❖ Accomplished academic experts and researchers

The conference and the evening reception will provide a great networking opportunity to catch up with old friends and to make new connections.

## **Hotel Reservation with Marriott**

There is a great variety of accommodations in New York City. However, if you prefer to stay at the Marriott Marquis, we have a special rate reserved for our guests. You can make reservations with the conference rate for rooms that Marriott has offered for our Annual Conference at discount. Please note that the cut-off date is on October 18<sup>th</sup>. The hotel rooms will be released on October 19<sup>th</sup> if not used, so please reserve your room early.

Please use the following link and number for hotel reservation:

<https://book.passkey.com/go/TheChineseFinAsscNov2019>

Reservations Toll Free: 1-877-303-0104

Reservations Local Phone: 1-212-398-1900

## **About TCFA**

Twenty-five years ago, TCFA was founded as a non-profit organization comprised of professionals, policymakers, and scholars in finance and related fields who have a strong interest in China's financial and economic development. Today, headquartered in New York City, TCFA has over 7,000 members globally with chapters in Boston, Washington DC, Chicago, Charlotte, San Francisco, Los Angeles, Toronto, London, Paris, Tokyo, Singapore, Beijing, Shanghai, Shenzhen and Hong Kong.